3. Agency Component Abbreviations

|  |  |
| --- | --- |
| Component Abbreviation | Component Name |
| SSA | Social Security Administration |
|  |  |
|  |  |

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| --- |
| **IV. Exemption 3 Statutes** |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Statute | Type of Information Withheld | Case Citation | Agency / Component | Number of Times Relied upon by Agency / Component | Total Number of Times Relied upon by Agency Overall |
| 26 U.S.C. § 6103, 6105 (Internal Revenue Code) | certain tax return information and certain tax convention information | Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103) Pac. Fisheries, Inc. v. IRS, No. 09-35618, 2010 WL 3611645, at \*2 (9th Cir. September 15, 2010) (unpublished disposition); Tax Analysts v. IRS, 217 F. Supp. 2d 23, 27-29 (D.D.C. 2002) (26 U.S.C. § 6105). | SSA | 26 | 26 |
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**V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS**

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| --- | --- | --- | --- | --- |
| Agency / Component | Number of Requests Pending as of Start of Fiscal Year | Number of Requests Received in Fiscal Year | Number of Requests Processed in Fiscal Year | Number of Requests Pending as of End of Fiscal Year |
| SSA | 590 | 39,159 | 39,455 | 294 |
|  |  |  |  | 0 |
| AGENCY OVERALL | 590 | 39,159 | 39,455 | 294 |

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**V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Number of Full Grants | Number of Partial Grants / Partial Denials | Number of Full Denials Based on Exemptions | Number of Full Denials Based on Reasons Other than Exemptions | | | | | | | | |  |
| No Records | All Records Referred to Another Component or Agency | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper FOIA Request for Other Reason | Not Agency Record | Duplicate Request | Other \*Explain in Chart Below | TOTAL |
| SSA | 38,269 | 791 | 296 | 20 | 2 | 24 | 25 | 25 | 0 | 0 | 3 | 0 | 39,455 |
|  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |
| AGENCY OVERALL | 38,269 | 791 | 296 | 20 | 2 | 24 | 25 | 25 | 0 | 0 | 3 | 0 | 39,455 |

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**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denials from Chart B(1) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| SSA | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 0 |
|  |  |  |  |

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**V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| SSA | 0 | 17 | 26 | 62 | 105 | 490 | 45 | 0 | 34 | 11 | 20 | 2 | 0 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 0 | 17 | 26 | 62 | 105 | 490 | 45 | 0 | 34 | 11 | 20 | 2 | 0 | 0 |

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**VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Appeals Pending as of Start of Fiscal Year | Number of Appeals Received in Fiscal Year | Number of Appeals Processed in Fiscal Year | Number of Appeals Pending as of End of Fiscal Year |
| SSA | 37 | 452 | 459 | 30 |
|  |  |  |  | 0 |
| AGENCY OVERALL | 37 | 452 | 459 | 30 |

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**VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Affirmed on Appeal | Number Partially Affirmed & Partially Reversed/Remanded on Appeal | Number Completely Reversed/Remanded on Appeal | Number of Appeals Closed for Other Reasons | TOTAL |
| SSA | 178 | 54 | 227 | 0 | 459 |
|  |  |  |  |  | 0 |
| AGENCY OVERALL | 178 | 54 | 227 | 0 | 459 |

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**VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | Ex. 1 | Ex. 2 | Ex. 3 | Ex. 4 | Ex. 5 | Ex. 6 | Ex. 7(A) | Ex. 7(B) | Ex. 7(C) | Ex. 7(D) | Ex. 7(E) | Ex. 7(F) | Ex. 8 | Ex. 9 |
| SSA | 0 | 4 | 0 | 0 | 22 | 202 | 6 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 0 | 4 | 0 | 0 | 22 | 202 | 6 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |

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**VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | No Records | Records Referred at Initial Request Level | Request Withdrawn | Fee-Related Reason | Records not Reasonably Described | Improper Request for Other Reasons | Not Agency Record | Duplicate Request or Appeal | Request in Litigation | Appeal Based Solely on Denial of Request for Expedited Processing | Other \*Explain in chart below |
| SSA | 25 | 0 | 0 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 25 | 0 | 0 | 4 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS**

|  |  |  |  |
| --- | --- | --- | --- |
| Agency / Component | Description of "Other" Reasons for Denial on Appeal from Chart C(2) | Number of Times "Other" Reason Was Relied Upon | TOTAL |
| SSA | N/A | 0 | 0 |
|  |  |
| AGENCY OVERALL |  |  | 0 |
|  |  |  |  |

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**VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|  |  |  |  |  |
|  |  |  |  |  |
| AGENCY OVERALL | 22.00 | 29.00 | 1.00 | 221.00 |

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**VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Appeal | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Appeal |
|  | Date of Appeal |  |  |  |  |  |  |  |  |  |  |
| Number of Days Pending |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | Date of Appeal | 2013-07-31 | 2013-07-30 | 2013-07-30 | 2013-07-19 | 2013-07-11 | 2013-07-11 | 2013-05-17 | 2013-03-23 | 2013-03-07 | 2012-10-24 |
| Number of Days Pending | 65 | 66 | 66 | 73 | 79 | 79 | 118 | 157 | 169 | 265 |

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**VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 18 | 17 | 1 | 45 | 45 | 64 | 1 | 331 | N/A | N/A | N/A | N/A |

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**VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | | COMPLEX | | | | EXPEDITED PROCESSING | | | |
| Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days | Median Number of Days | Average Number of Days | Lowest Number of Days | Highest Number of Days |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 20 | 20 | 1 | 45 | 44 | 60 | 4 | 299 | N/A | N/A | N/A | N/A |

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**VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| SSA | 31,006 | 5,399 | 1,157 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37,562 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |
| AGENCY OVERALL | 31,006 | 5,399 | 1,157 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 37,562 |

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**VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| SSA | 160 | 250 | 152 | 125 | 71 | 27 | 18 | 27 | 9 | 27 | 26 | 1 | 0 | 893 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |
| AGENCY OVERALL | 160 | 250 | 152 | 125 | 71 | 27 | 18 | 27 | 9 | 27 | 26 | 1 | 0 | 893 |

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**VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | <1-20 Days | 21-40 Days | 41-60 Days | 61-80 Days | 81-100 Days | 101-120 Days | 121-140 Days | 141-160 Days | 161-180 Days | 181-200 Days | 201-300 Days | 301-400 Days | 401+ Days | TOTAL |
| SSA | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
|  |  |  |  |  |  |  |  |  |  |  |  |  |  | 0 |
| AGENCY OVERALL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | SIMPLE | | | COMPLEX | | | EXPEDITED PROCESSING | | |
| Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days | Number Pending | Median Number of Days | Average Number of Days |
|  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | 233 | 18 | 17 | 61 | 45 | 64 | 0 | N/A | N/A |

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**VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Request | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Request |
|  | Date of Receipt |  |  |  |  |  |  |  |  |  |  |
| Number of Days Pending |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | Date of Receipt | 2013-06-11 | 2013-05-30 | 2013-05-17 | 2013-04-05 | 2013-04-02 | 2013-03-23 | 2013-03-07 | 2013-01-29 | 2012-12-26 | 2012-10-24 |
| Number of Days Pending | 101 | 109 | 118 | 148 | 151 | 157 | 169 | 196 | 220 | 265 |

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**VIII.A. REQUESTS FOR EXPEDITED PROCESSING**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate | Number Adjudicated Within Ten Calendar Days |
|  |  |  |  |  |  |
|  |  |  |  |  |  |
| AGENCY OVERALL | 0 | 0 | N/A | N/A | 0 |

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**VIII.B. Requests for Fee Waiver**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number Granted | Number Denied | Median Number of Days to Adjudicate | Average Number of Days to Adjudicate |
|  |  |  |  |  |
|  |  |  |  |  |
| AGENCY OVERALL | 0 | 4 | 26 | 41 |

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| **IX. FOIA Personnel and Costs** |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Agency / Component | PERSONNEL | | | COSTS | | |
| Number of "Full-Time FOIA Employees" | Number of "Equivalent Full-Time FOIA Employees" | Total Number of "Full-Time FOIA Staff" | Processing Costs | Litigation-Related Costs | Total Costs |
| SSA | 22 | 38 | 60 | $3,467,286.00 | $76,977.00 | $3,544,263.00 |
|  |  |  | 0 |  |  | $0.00 |
| AGENCY OVERALL | 22 | 38 | 60 | $3,467,286.00 | $76,977.00 | $3,544,263.00 |

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| **X. Fees Collected for Processing Requests** |

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| --- | --- | --- |
| Agency / Component | Total Amount of Fees Collected | Percentage of Total Costs |
| SSA | $293,892.00 | 8.48% |
|  |  |  |
| AGENCY OVERALL | $293,892.00 | 8.48% |

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| **XII.A. Backlogs of FOIA Requests and Administrative Appeals** |

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of Fiscal Year | Number of Backlogged Appeals as of End of Fiscal Year |
| SSA | 67 | 17 |
|  |  |  |
| AGENCY OVERALL | 67 | 17 |

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**XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | Number of Consultations Received from Other Agencies that were Pending at the Agency as of Start of the Fiscal Year | Number of Consultations Received from Other Agencies During the Fiscal Year | Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year | Number of Consultations Received from Other Agencies that were Pending at the Agency as of End of the Fiscal Year |
| SSA | 0 | 42 | 42 | 0 |
|  |  |  |  | 0 |
| AGENCY OVERALL | 0 | 42 | 42 | 0 |

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**XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Agency / Component |  | 10th Oldest Consultation | 9th | 8th | 7th | 6th | 5th | 4th | 3rd | 2nd | Oldest Consultation |
|  | Date |  |  |  |  |  |  |  |  |  |  |
| Number of Days |  |  |  |  |  |  |  |  |  |  |
| AGENCY OVERALL | Date | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| Number of Days | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

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**XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF REQUESTS RECEIVED | | NUMBER OF REQUESTS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| SSA | 31,329 | 39,159 | 31,584 | 39,455 |
|  |  |  |  |  |
| AGENCY OVERALL | 31,329 | 39,159 | 31,584 | 39,455 |

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**XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report |
| SSA | 30 | 67 |
|  |  |  |
| AGENCY OVERALL | 30 | 67 |

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**XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Agency / Component | NUMBER OF APPEALS RECEIVED | | NUMBER OF APPEALS PROCESSED | |
| Number Received During Fiscal Year from Last Year's Annual Report | Number Received During Fiscal Year from Current Annual Report | Number Processed During Fiscal Year from Last Year's Annual Report | Number Processed During Fiscal Year from Current Annual Report |
| SSA | 867 | 452 | 844 | 459 |
|  |  |  |  |  |
| AGENCY OVERALL | 867 | 452 | 844 | 459 |

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**XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS**

|  |  |  |
| --- | --- | --- |
| Agency / Component | Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report | Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report |
| SSA | 15 | 17 |
|  |  |  |
| AGENCY OVERALL | 15 | 17 |

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